# DNABERT-2: EFFICIENT FOUNDATION MODEL AND BENCHMARK FOR MULTI-SPECIES GENOME

Zhihan Zhou\* Yanrong Ji\* Weijian Li\* Pratik Dutta<sup>†</sup> Ramana Davuluri<sup>†</sup> Han Liu\*

Northwestern University\* Stony Brook University<sup>†</sup>

## ABSTRACT

Decoding the linguistic intricacies of the genome is a crucial problem in biology, and pre-trained foundational models such as DNABERT and Nucleotide Transformer have made significant strides in this area. Existing works have largely hinged on k-mer, fixed-length permutations of A, T, C, and G, as the token of the genome language due to its simplicity. However, we argue that the computation and sample inefficiencies introduced by k-mer tokenization are primary obstacles in developing large genome foundational models. We provide conceptual and empirical insights into genome tokenization, building on which we propose to replace k-mer tokenization with Byte Pair Encoding (BPE), a statistics-based data compression algorithm that constructs tokens by iteratively merging the most frequent co-occurring genome segment in the corpus. We demonstrate that BPE not only overcomes the limitations of k-mer tokenization but also benefits from the computational efficiency of non-overlapping tokenization. Based on these insights, we introduce DNABERT-2, a refined genome foundation model that adapts an efficient tokenizer and employs multiple strategies to overcome input length constraints, reduce time and memory expenditure, and enhance model capability. Furthermore, we identify the absence of a comprehensive and standardized benchmark for genome understanding as another significant impediment to fair comparative analysis. In response, we propose the Genome Understanding Evaluation (GUE), a comprehensive multi-species genome classification dataset that amalgamates 28 distinct datasets across 7 tasks, with input lengths ranging from 70 to 1000. Through comprehensive experiments on the GUE benchmark, we demonstrate that DNABERT-2 achieves comparable performance to the state-of-the-art model with 21× fewer parameters and approximately 56× less GPU time <sup>1</sup> in pre-training. Compared to DNABERT, while being 3× more efficient, DNABERT-2 outperforms it on 23 out of 28 datasets, with an average improvement of 6 absolute scores on GUE. The code, data, and pre-trained model are publicly available at https://github.com/Zhihan1996/DNABERT\_2.

## 1 Introduction

Transformer-based foundation models [Bommasani et al., 2022; Kenton and Toutanova, 2019; OpenAI, 2023] have witnessed significant progress in recent years, particularly exemplified by the advent of groundbreaking language models like ChatGPT [OpenAI, 2023; Ouyang et al., 2022]. In parallel, the significance of foundation models has also been increasingly appreciated in the genomics field, as they represent the understanding of genome sequences via numerical embeddings that are directly applicable to various genome analysis tasks. These models can capture complex relationships and dependencies in DNA sequences, opening new avenues for understanding transcriptional regulation [Li et al., 2023], non-coding genetic variants associated with human diseases and traits [Rozowsky et al., 2023], and the functional effects of regulatory elements [Smith et al., 2023]. Recent advancements in genome language modeling have demonstrated their superiority in a range of downstream applications, including promoter prediction [Le et al., 2022; Zhang et al., 2022], gene expression prediction [Avsec et al., 2021], DNA methylation prediction [Jin et al.,

<sup>\*</sup>Emails: {zhihanzhou, yanrongji, weijianli}@u.northwestern.edu, hanliu@northwestern.edu †Emails: pratik.dutta@stonybrook.edu, Ramana.Davuluri@stonybrookmedicine.edu

<sup>&</sup>lt;sup>1</sup>About 14 days on 8 NVIDIA RTX 2080Ti GPUs V.S. 17 days on 128 NVIDIA A100 GPUs. Estimated with the **Method 2: GPU Time** introduced by OpenAI in https://openai.com/research/ai-and-compute.

2022], chromatin state analysis [Lee et al., 2022], promoter-enhancer interaction prediction [Chen et al., 2022; Ni et al., 2022], TF-DNA binding prediction [Wang et al., 2022], variant effect prediction [Rozowsky et al., 2023], gene network prediction [Theodoris et al., 2023] and more. These models provide researchers with powerful tools to understand the functional importance of different genomics elements and unravel key biological processes and mechanisms.

In this context, we previously developed DNABERT [Ji et al., 2021], an initial foundation model (FM), to unravel the human genome from a language perspective. Despite being widely applied in the community, several technical limitations still present at the time with the original DNABERT implementation, limiting its full potential. First, although proven to be generalizable to other organisms, the pretraining was solely done on the human reference genome, omitting the sequence conservation and diversity across species. Second, k-mer tokenization resulted in information leakage and overall poor computational efficiency during pre-training, which hampers its scalability. Lastly, the simplistic DNABERT-XL solution—intended to bypass the restriction of 512 input sequences imposed by the learned positional embedding [Kenton and Toutanova, 2019]—fell short in handling long input sequences, both in efficiency and effectiveness. These limitations underlined the need for further advancements in the domain of DNA language models.

Recently, Lopez et al. [2023] introduced Nucleotide Transformers (NT), a series of genome foundation models scaling from 500M to 2500M parameters. NT alleviated the first two limitations of DNABERT by pre-training on a large collection of genomes from 850 species and replacing overlapping k-mer tokenization with a non-overlapping version, substantially reducing tokenized sequence length. Despite this, a hard input length limitation still exist, while, as we will discuss in Sec. 2, non-overlapping k-mer tokenization also suffered from poor sample efficiency as it complicates the model's task of aligning significantly distinct representations of near-identical inputs.

In view of the aforementioned limitations, we introduce DNABERT-2, a multi-species genome foundation model that replaces k-mer tokenization with Byte Pair Encoding (BPE) [Sennrich et al., 2016], a data compression algorithm that has been widely used by large language models. We show that BPE effectively addresses the known issues of k-mer tokenization while maintaining the computational efficiency of non-overlapping tokenization. Moreover, DNABERT-2 overcomes the limitation of DNABERT by replacing learned positional embeddings with Attention with Linear Biases (ALiBi) [Press et al., 2021] to get rid of the input length limitation, incorporating Flash Attention [Dao et al., 2022] to increase computational efficiency, and adjusting model architecture to increase model capability. As a result of the efficient tokenizer and advanced model architecture, DNABERT-2 achieves comparable performance to the state-of-the-art model with approximately  $56 \times$  less computational cost and  $21 \times$  fewer parameters, identifying its computation- and sample- efficiency and enabling efficient fine-tuning on most consumer GPUs.

Meanwhile, despite progress in genome foundational models, the absence of carefully curated benchmarks has posed a significant challenge. Owing to the unstandardized pre-processing pipeline of genome sequences, it is unjust to directly compare model performances with results reported in previous papers, even when the data originate from the same source. Moreover, many genome understanding evaluation datasets used in existing works [Lopez et al., 2023] are either too trivial or too challenging, leading to similar scores for most models and failing to accurately reflect different models' capabilities. The scarcity of high-quality benchmark datasets hampers evaluating and comparing different models and further hinders the development of novel techniques. To this end, we introduce Genome Understanding Evaluation (GUE), a standardized and comprehensive multi-species benchmark containing 28 datasets across 7 important genome analysis tasks on genomes of 4 species with input lengths ranging from 70 to 1000. All the datasets are elaborately calibrated with a series of strategies to ensure they are suitable for reflecting the capability level of existing genome foundation models.

Our main contributions can be therefore summarized as follows: 1) We identify key obstacles in genome tokenization and provide deep insights, presenting a simple yet effective solution that balances the efficiency and effectiveness of genome foundation models; 2) We introduce DNABERT-2, an efficient pre-trained foundation model for multi-species genome that delivers performance on par with the state-of-the-art model while being 21× smaller and utilizes approximately 56× less GPU time; 3) We introduce Genome Understanding Evaluation (GUE), a standardized, comprehensive, and well-calibrated multi-species genome classification benchmark including 7 tasks and 28 datasets to facilitate research in genome foundation model.

## 2 Background

Tokenization serves as a critical initial step in language modeling, significantly impacting the efficiency and effectiveness of the model. DNA sequences consist of 4 unique nucleotide bases: A, T, C, and G. A majority of genome language models [Ji et al., 2021; Lopez et al., 2023] utilize the k-mer tokenization technique, in which each contiguous k-length genome segment is considered as a token. During tokenization, a sliding window with window size k and stride t is employed to convert the original genome sequence into a series of k-mers. Here, the stride t is either set as t or t0, while

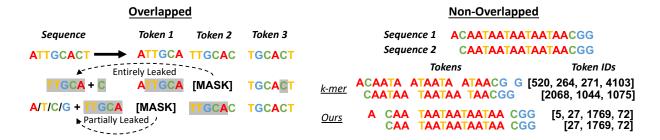


Figure 1: Illustration of the drawbacks of k-mer tokenization. In the overlapping setting, information about a masked token is leaked by its adjacent tokens, while in the non-overlapping setting, adding/deleting one nucleotide base leads to a dramatic change in the tokenized sequence.

the first one represents the overlapping version of k-mer tokenization and the other one represents the non-overlapping version. Figure 1 presents examples of overlapping (left) and non-overlapping (right) k-mer tokenizations. Despite its wide application, we argue that both versions of the k-mer tokenization are less optimal.

Overlapping k-mers tokenization ensures adjacent tokens always overlap by k-1 characters, resulting in significant information leakage in masked language modeling. As depicted in Figure 1, a masked token is entirely leaked when adjacent tokens from both sides are not masked, and it is partially leaked when adjacent tokens from only one side are present. Generally, in the overlapping k-mer tokenization setting, let l and r denote the distances between a masked token [M] and its closest unmasked adjacent token on the left and right sides, the number of possible options of [M] is  $4^{\min(l,r,k,\max(0,l+r-k))}$ . In other words, to prevent the entire leakage of a masked token, at least k-1 tokens on its left and right sides in total must be masked, which explains why Ji et al. [2021] opt to mask a continuous span of k tokens. Furthermore, to guarantee no leakage of a masked token, at least k tokens on both sides must be masked. Nevertheless, information leakage is still inevitable for the leftmost and rightmost k-1 masked tokens. Ideally, in masked language modeling, a model is required to select the best option from the entire vocabulary, enabling it to differentiate and evaluate among a large number of options. However, if the search space is undesirably reduced due to information leakage, the model only needs to differentiate between a limited number of options. Consequently, this results in poor sample efficiency, as the model may not be sufficiently challenged to learn the underlying patterns in the data. Also, the tokenized sequence for an input of length L consists of L-k+1 tokens, each with a length of k. This results in a tokenized sequence with considerable redundancy and a length nearly equivalent to the original sequence, leading to low computation efficiency considering the quadratic computation complexity of Transformer-based [Vaswani et al., 2017] models. This becomes particularly problematic when attempting to scale up the model. Therefore, Lopez et al. [2023] proposed the non-overlapping k-mer tokenization.

Non-overlapping k-mer tokenization, despite its advantage of reducing sequence length by a factor of k, is plagued by a notable issue of sample inefficiency. Figure 1 graphically illustrates this problem. Considering a scenario when the context window is reduced by 1, the model input is then switched from **Sequence 1** to **Sequence 2**. In theory, this should involve a minor adjustment in tokenized output. However, with the non-overlapping k-mer tokenizer, this minor shift instigates a dramatic alteration in the tokenized output. Despite the two sequences originating from the same genomic segment, their tokenized representations bear little resemblance. This inconsistent behavior introduces unnecessary hurdles for the model during training, as it poses unnecessary difficulty for the model to align distinct representations of identical or near-identical inputs. Consequently, the inefficiency in learning from the data could impede the overall model performance. The implications of these observations advocate for a re-evaluation of tokenization strategies for the genome language, with a focus on strategies that ensure robust and efficient representation.

To address the aforementioned issues, we propose to adapt SentencePiece [Kudo and Richardson, 2018], a subword tokenization framework widely used in natural language processing, to replace k-mer tokenization for genome sequences. We employ Byte-Pair Encoding (BPE) [Sennrich et al., 2016] to iteratively merge frequent pairs of nucleotides and genome segments, forming a vocabulary of variable-length tokens that effectively represent the entire genome dataset. Despite its conceptual simplicity, this method is well-suited for genome foundation models. First, it not only prevents information leakage but also significantly reduces the sequence length by approximately 5 times (detailed statistics are presented in Sec 3.1), substantially improving computational efficiency. Moreover, its robust tokenization result is beneficial for sample efficiency since it allows the model to focus on understanding the genome language semantics without being distracted by the distinct representations of the same input. Furthermore, unlike k-mer tokenization, BPE doesn't always produce tokens of length k. Consequently, when a token containing an unspecified number of nucleotides is masked, the model is challenged to predict both the number of nucleotides and the particular nucleotides

themselves. This naturally transforms the masked language modeling objective into a T5-style [Raffel et al., 2020] "replace spans of text" objective, which has been demonstrated to be more effective than standard masked language modeling in various scenarios.

## 3 Method

In this section, we provide empirical analysis on the BPE tokenizer for genome language (§ 3.1) and describe the model architecture (§ 3.2) and implementation details (§ 3.3) of DNABERT-2.

#### 3.1 Tokenizer

Ite	ration	Corpus	s	Vocabulary
0	AA	CGCACT	ATATA	{ <b>A</b> , <b>T</b> , <b>C</b> , <b>G</b> }
1				$\{A,T,C,G,TA\}$
2	AAC	GCAC	TA TA TA	{A,T,C,G,TA, AC}
3	A AC	G C AC	TA TA TA	

Figure 2: Illustration of the BPE vocabulary constructions.

DNABERT-2 adapts SentencePiece [Kudo and Richardson, 2018] with Byte Pair Encoding (BPE) [Sennrich et al., 2016] to perform tokenization for DNA sequences. SentencePiece is a language-agnostic tokenizer that considers each input as a raw stream without assuming any pre-tokenization, which matches greatly with genome sequences where the definitions of *word* and *sentence* do not exist. BPE is a compression algorithm that has been widely used in the area of natural language processing as a word segmentation strategy. It learns a fixed-sized

vocabulary of variable-length tokens based on the co-occurrence frequency of the characters. Figure 2 illustrates the process of constructing a vocabulary from a given corpus with BPE. First, we initialize the vocabulary with all unique characters in the corpus. Then, in each iteration, we view the most frequent character segment (e.g., TA at iteration 1) as a new *word*, add it to the vocabulary, and update the corpus by replacing all the same segments with this new word. The iteration continues till we achieve the desired number of words in the vocabulary. Thus, the target vocabulary size plays a crucial role.

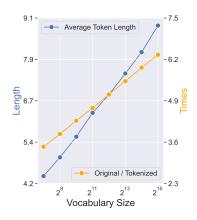
Due to the significant difference between natural language and DNA sequence, vocabulary sizes that are commonly used in the NLP area [Kenton and Toutanova, 2019; OpenAI, 2023; Raffel et al., 2020; Vaswani et al., 2017] may not be appropriate for genome sequences. To determine the most suitable vocabulary size, we constructed 8 vocabularies with target sizes ranging from  $2^8$  to  $2^{15}$  on the multi-species genomes (see Sec. 4.1) to empirically evaluate the impact of varying vocabulary sizes. As indicated in Figure 3a, larger vocabularies tend to encompass more lengthy tokens, which enables the tokenizer to represent the same input sequence with fewer tokens. Shorter tokenized sequences consequently reduce the computational cost (See Figure 3b), as the computational complexity of Transformers is quadratic in relation to the input sequence length. Therefore, from the computation efficiency perspective, a larger vocabulary size is favorable.

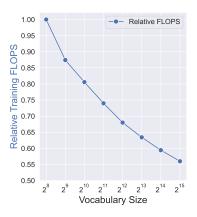
However, a larger vocabulary leads to more sparse updates to the embedding layer, given that each token would be used less frequently, which might compromise the model's performance. We empirically analyzed this issue by pre-training three different DNABERT-2 variants with vocabulary sizes of  $2^8$ ,  $2^{12}$ , and  $2^{15}$  on the multi-species genome dataset with a batch size of 2048 for 150000 steps and evaluating them on the GUE benchmark (see Sec. 4.2). Figure 3c displays the performance of each variant, where the model performance is measured by the dataset- and task-average scores. As depicted in the figure, unlike computational efficiency, the model's performance does not consistently improve as the vocabulary size increases. Therefore, we selected a vocabulary size of  $2^{12} = 4096$  for training the final DNABERT-2 model, as it best balances model performance with computational efficiency among the candidates.

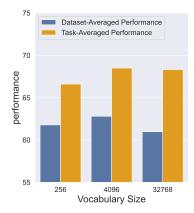
#### 3.2 Model

DNABERT-2 adapts the Transformer Encoder architecture similar to BERT [Kenton and Toutanova, 2019]. To address the limitations of existing models, we incorporate a series of recent advances in deep learning to increase the model's efficiency and capability, including: 1) replacing learned positional embeddings with the Attention with Linear Biases (ALiBi) [Press et al., 2021] to overcome the input length limitation; 2) utilizing FlashAttention [Dao et al., 2022] and Low Precision Layer Normalization to increase computation and memory efficiency; 3) employing the Low-Rank Adaptation (LoRA) [Hu et al., 2021] in the fine-tuning stage (if necessary) for parameter-efficient training.

**Attention with Linear Biases.** Due to the permutation-invariant nature of the attention mechanism, explicit positional information is required in attention-based models. Existing solutions such as Sinusoidal [Vaswani et al., 2017], learned [Kenton and Toutanova, 2019], and Rotary [Su et al., 2021] positional embedding methods either suffer from input







- (a) Average token length and the length ratio of original sequence v.s. tokenized sequence.
- (b) Training FLOPs on 500-length sequences compared to model with 2<sup>8</sup> vocabulary.
- (c) Model performance averaged over each tasks (macro) and individual dataset (micro).

Figure 3: This figure presents the average token length, average sequence length reduced after tokenization, and model performance on the GUE benchmark with different vocabulary sizes.

length restriction or poor *extrapolation* capability when applied to sequences longer than training data. Attention with Linear Biases (ALiBi) provides an efficient yet effective solution. Instead of adding position embeddings to the input, ALiBi adds a fixed set of static, non-learned biases to each attention calculation to incorporate positional information into attention scores. Specifically, let  $\mathbf{q}_i$  define the i-th query in the input sequence of length L and  $\mathbf{K}$  defines the key matrix, the attention score of query i is calculated as:  $\mathtt{softmax}(\mathbf{q}_i\mathbf{K}+m*[-(i-1),...,-2,-1,0,-1,-2,...,-(L-1-i)])$ , where m is a fixed head-specific constant. ALiBi used a geometric sequence (i.e.,  $\frac{1}{2^1},\frac{1}{2^2},...,\frac{1}{2^n}$ ) of different m to each attention head. Intuitively, ALiBi increasingly penalizes attention scores between key-query pairs as their distances increase, and m determines the penalty rate. Replacing learned position embedding with ALiBi allows DNABERT-2 to effectively handle arbitrarily long sequences during fine-tuning and inference despite being pre-trained on relatively short sequences.

**Flash Attention.** Flash attention is an IO-aware algorithm that implements the exact standard attention calculation in a more time- and memory-efficient way. It identifies a main bottleneck of standard attention implementation as the lack of taking the number of reads and writes to fast GPU on-chip SRAM and relatively slow GPU high bandwidth memory (HBM) into account. To avoid reading and writing to the slow HBM, it splits Key/Query/Value matrices into blocks and incrementally performs softmax over the entire input. It also proposes to recompute large intermediate results like attention scores in backward pass to trade extra computation for fewer IO with HBM, which empirically leads to less computational time. It accelerates DNABERT-2 without sacrificing model performance.

Low-Rank Adaptation (LoRA). Fine-tuning all the parameters of a model becomes increasingly expensive as the pre-trained model becomes much larger. Thus, we adopt LoRA, a parameter-efficient fine-tuning method that significantly reduces the computation and memory costs with ignorable performance sacrifice. Let  $W_0, W_1 \in \mathbb{R}^{m \times n}$  define the same weight matrix before and after task-specific fine-tuning, and we have  $W_1 = W_0 + \Delta W$ , where  $\Delta W \in \mathbb{R}^{m \times n}$  represents the change of each weight element during the fine-tuning. In ordinary fine-tuning, we independently update each weight based on its corresponding gradient, while in LoRA, we represent  $\Delta W$  with a low-rank decomposition  $\Delta W = BA$ , where  $B \in \mathbb{R}^{m \times r}$ ,  $A \in \mathbb{R}^{r \times n}$ , and  $r \ll m, r \ll n$ . Modeling  $\Delta W$  with low-rank decomposition reduces the number of trainable parameters from  $m \times n$  to  $r \times (m+n)$ , leading to significant improvement in training time and memory usage.

Besides, we replace the Relu activation function with GEGLU [Shazeer, 2020], a variant of GLU [Dauphin et al., 2017] that has been shown to improve the performance of Transformer models. The GEGLU function is calculated as  $\text{GEGLU}(x,W,V,b,c) = \text{GELU}(xW+b) \otimes (xV+c)$ , where x is the function input, W and V are learnable weights, and b and c are learnable biases. The GELU function is defined as  $\text{GELU}(x) = x\Phi(x)$ , where  $\Phi(x)$  is the cumulative distribution function (CDF) of the standard normal distribution.

Species	Task	Num. Datasets	Num. Classes	Sequence Length
	Core Promoter Detection	3	2	70
TT	Transcription Factor Prediction	5	2	100
Human	Promoter Detection	3	2	300
	Splice Site Detection	1	3	400
Mouse	Transcription Factor Prediction	5	2	100
Yeast	Epigenetic Marks Prediction	10	2	500
Virus	Covid Variant Classification	1	9	1000

Table 1: Summarization of the Genome Understanding Evaluation (GUE) benchmark.

## 3.3 Implementation

We pre-train DNABERT-2 with the Masked Language Modeling (MLM) loss with a mask ratio of 15%. Notably, we independently mask every token instead of masking spans of continuous tokens like Ji et al. [2021]. We use a batch size of 4096 and a max sequence length of 128. We train the model for 500000 steps using the AdamW [Loshchilov and Hutter, 2019] optimizer with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.98$ ,  $\epsilon = 1e-6$  and weight decay of 1e-5. The learning rate linearly increases from 0 to 5e-4 during the first 30000 steps while linearly decreasing to 0 in the last 470000 steps. The pre-training stage takes approximately 14 days using eight Nvidia RTX 2080Ti GPUs. To train the model, we used the Transformers library by HuggingFace [Wolf et al., 2020] and the Composer library by MosaicML [Team, 2021].

#### 4 Data

In order to facilitate further research on large-scale genome foundational models, we have collated and made available multi-species genome datasets for both pre-training of models (Sec. 4.1) and benchmarking (Sec. 4.2).

#### 4.1 Pre-Train: Human and Multi-Species Genome

To investigate the impact of species diversity on genome foundational models, we've compiled and made publicly available two datasets for foundational model pre-training: the human genome and the multi-species genome. The human genome dataset is borrowed from DNABERT's pre-training data [Ji et al., 2021], which comprises 2.75B nucleotide bases. The multi-species genome dataset encompasses genomes from 135 species, spread across 7 categories. In total, this dataset includes 32.49B nucleotide bases, nearly 12 times the volume of the human genome dataset. We exclude all sequences with N and retain only sequences that consist of A, T, C, and G. Detailed statistics are presented in Table 7.

## **4.2** Benckmark: Genome Understanding Evaluation (GUE)

We introduce the Genome Understanding Evaluation (GUE) benchmark, which includes 7 genome sequence classification problems with 28 datasets. Table 1 presents the summarization of the GUE benchmark. To evaluate models' capability in modeling sequences with different lengths, we select datasets with input lengths ranging from 70 to 1000. GUE contains tasks for 4 species: human, mouse, virus, and yeast, to evaluate the multi-species transferability in genome understanding of each model. We explicitly define evaluation metrics for each task and split each dataset into training, validation, and test data for a fair comparison across different models.

To calibrate the GUE benchmark's difficulty level and better illuminate each model's capabilities, we carefully selected datasets that are neither too simple nor overly challenging for current models. For example, when the Nucleotide Transformer variants [Lopez et al., 2023] were tested on the *Splice Site Prediction* dataset, all variants achieved an accuracy between 97% and 98%. Similar outcomes were observed in tasks like *Promoter Prediction* and *Enhancer Prediction*. These high scores might suggest these variants perform similarly, but as our experiments in Section 5 show, they vary significantly on more discerning datasets.

The construction of GUE starts with the aggregation of various biologically important genome analysis datasets, followed by the assessment of existing models such as DNABERT [Ji et al., 2021] and Nucleotide Transformer [Lopez et al., 2023] on these datasets. Datasets where the majority of models yielded moderate (e.g., F1-scores between 0.3 and 0.8) and distinguishable performance scores were retained. On the other hand, datasets that did not meet these criteria underwent a restructuring process involving various strategies such as class balancing, adversarial sample inclusion,

and reduction of training sample volume, among others. After several iterations of this process, we ultimately arrived at 28 representative datasets of moderate difficulty. Due to space limits, we present the detailed data processing and statistics of each dataset in Sec. B.2.

## 5 Experiments

We evaluate DNABERT-2 using the proposed GUE benchmark to thoroughly investigate its versatility and robustness across a variety of tasks involving multi-species genomes.

#### 5.1 Baseline

We compare DNABERT-2 with two state-of-the-art genome foundation models: DNABERT [Ji et al., 2021] and Nucleotide Transformer [Lopez et al., 2023].

**DNABERT** was the first pre-trained foundational model for genome sequences, trained on human genome sequences. It has four variants, namely DNABERT (3-mer), DNABERT (4-mer), DNABERT (5-mer), and DNABERT (6-mer), which utilize overlapping 3/4/5/6-kmer tokenization respectively. While DNABERT employs the same architecture as BERT-base, it has a different vocabulary size, which is dependent on the chosen k-mer.

**Nucleotide Transformer (NT)** scales up the data and model size to achieve state-of-the-art performance in 27 DNA analysis tasks. It also has 4 variants: *NT-500M-human*, *NT-500M-1000g*, *NT-2500M-1000g*, and *NT-2500M-multi*, where *human*, *1000g*, and *multi* respectively refers to the GRCh38/hg38 human reference genome, 3202 high-coverage human genomes from the 1000 Genome project [Byrska-Bishop et al., 2021], and genome from 850 different species.

It is important to note that NT models are 6 to 29 times larger than DNABERT, which precludes standard model fine-tuning on consumer GPUs. Therefore, we perform standard fine-tuning for DNABERT and DNABERT-2, while adapting the Low-Rank Adaptation (LoRA) technique for fine-tuning the Nucleotide Transformer to enhance efficiency. For a fair comparison, we conducted preliminary experiments to confirm that our implementation of NT achieves comparable results to those reported in their original paper [Lopez et al., 2023] (see Appendix A.3 for more details).

## 5.2 Setup and Metric

We evaluate the models from two perspectives: computational efficiency and performance on downstream tasks. To measure each model's computational cost, we consider the number of model parameters and the relative Floating Point Operations (FLOPs)—which is the total number of multiplication and addition operations during a forward pass—compared to DNABERT-2. We evaluate FLOPs on genome sequences with a length of 500, a commonly used setup in genome analysis. To measure model performance, we utilize F1-Score and Matthews Correlation Coefficient (MCC). We use different metrics for different tasks, following conventional practices (refer to Table 8 for details). Table 2 presents the overall performance of each model on the GUE benchmark. It provides the average score of each model and the number of times it ranks in the top two among all models. The average results across all tasks are reported in Table 3, while task-specific results can be found in 4, with full details relegated to this section due to space constraints. We also include statistics on the number of tokens each model processed during its pre-training phase, providing insight into the effects of training steps on model performance. For each model, we keep most of the hyperparameters (e.g., learning rate, batch size, weight decay, etc.) constant across all datasets, adjusting only the maximum sequence length and the number of training steps according to the specific dataset. Hyperparameter tuning tailored to each dataset is left for future work. Throughout the training process, we validate the model every 200 steps, save the model that yields the smallest loss on the validation set, and report its evaluation results on the test set. We train each model using three different random seeds and report the average results.

**Further Pre-Training.** We also investigate the impact of additional in-domain pre-training on DNA language models. We combine the training sets of the 28 GUE datasets and further pre-train DNABERT-2 on this combined set. Following Sun et al. [2020], we train the model with a batch size of 32, a maximum sequence length of 128, and a learning rate of 5e-5 for 100,000 steps. This results in the processing of 0.41B tokens, which only constitute 0.08% of the tokens processed during the entire training process of DNABERT-2.

#### 5.3 Main Results

Table 2 outlines the statistics and aggregate performance of the models. As indicated in the table, despite being 21× smaller and requiring 19× fewer FLOPs, DNABERT-2 delivers a performance comparable to the state-of-the-art model while significantly surpassing other baselines. When DNABERT-2 undergoes additional pre-training on the

Model	Num. Params. ↓	FLOPs ↓	Trn. Tokens	Num. Top-2 ↑	Ave. Scores ↑
DNABERT (3-mer)	86M	3.27	122B	2    0	61.62
DNABERT (4-mer)	86M	3.26	122B	0    1	61.14
DNABERT (5-mer)	87M	3.26	122B	0    1	60.05
DNABERT (6-mer)	89M	3.25	122B	0    1	60.51
NT-500M-human	480M	3.19	50B	0    0	55.43
NT-500M-1000g	480M	3.19	50B	0    1	58.23
NT-2500M-1000g	2537M	19.44	300B	0    1	61.41
NT-2500M-multi	2537M	19.44	300B	<u>7 ∥ 9</u>	66.93
DNABERT-2	117M	1.00	262B	8    4	66.80
<b>DNABERT-2</b> ♦	117M	1.00	263B	11    10	67.77

Table 2: The statistics and performance of each model. The five columns represent the number of model parameters, relative FLOPs compared to DNABERT-2, the number of tokens used in pre-training, and the number of being top-2 among all the models (1st || 2nd) and the average evaluation scores on the 28 datasets of the GUE benchmark. ♦: perform further masked language modeling pre-training on the training sets of the GUE benchmark.

	Yeast	Mouse	Virus		Hur	nan	
	EMP	TF-M	CVC	TF-H	PD	CPD	SSP
DNABERT (3-mer)	49.54	57.73	62.23	64.43	84.63	72.96	84.14
DNABERT (4-mer)	48.59	59.58	59.87	64.41	82.99	71.10	84.05
DNABERT (5-mer)	48.62	54.85	63.64	50.46	84.04	72.03	84.02
DNABERT (6-mer)	49.10	56.43	55.50	64.17	81.70	$\overline{71.81}$	84.07
NT-500M-human	45.35	45.24	57.13	50.82	85.51	66.54	79.71
NT-500M-1000g	47.68	49.31	52.06	58.92	86.58	69.13	80.97
NT-2500M-1000g	50.86	56.82	66.73	61.99	86.61	68.17	85.78
NT-2500M-multi	<u>58.06</u>	67.01	73.04	63.32	88.14	71.62	89.36
DNABERT-2	55.98	67.99	71.02	70.10	84.21	70.52	84.99
<b>DNABERT-2</b> ♦	58.83	$\overline{71.21}$	68.49	66.84	83.81	71.07	85.93

Table 3: The models' averaged performance on the 8 tasks in the GUE benchmark, including Epigenetic Marks Prediction (EMP), Transcription Factor Prediction on the Human genome and the Mouse genome (TF-H and TF-M), Covid Variants Classification (CVC), Promoter Detection (PD), Core Promoter Detection (CPD), and Splice Site Prediction (SSP).

GUE benchmark, which requires negligible computational overhead, it delivers the highest average performance and consistently ranks in the top two across the 28 tasks of the GUE benchmark. These results showcase the model's remarkable efficiency and effectiveness.

Despite having 30% more parameters than DNABERT, DNABERT-2 requires only one-third the number of FLOPs. This indicates the superiority of the Byte Pair Encoding (BPE)-based tokenization method over overlapping k-mer tokenization in terms of modeling efficiency. Armed with the new tokenization method and the Attention with Linear Biases (ALiBi) module, DNABERT-2 can effectively process long genome sequences arbitrarily, demonstrating enhanced efficiency. This improvement becomes even more significant as the length of the input sequence increases. Moreover, DNABERT-2 consistently outperforms DNABERT by a large margin, indicating the effectiveness of multi-species pre-training and new model architecture.

Although DNABERT-2 is 5 times smaller, it surpasses NT-500M while using less FLOPs. This underscores the importance of providing the model with *adequate* data, particularly when the model size is scaled up, and further highlights the inefficiency of overlapping k-mer tokenization. The comparison between DNABERT and NT-2500M-1000g exposes the sample inefficiency of non-overlapping k-mer tokenization. Despite being trained on 2.5 times more tokens, NT-2500M-1000g achieves a performance similar to that of DNABERT.

The averaged results for each task are displayed in Table 3. DNABERT-2 and NT-2500M-multi consistently achieve top-tier performance across most tasks. Their dominance over other baselines is particularly notable in non-human genome analysis tasks, demonstrating the effectiveness of pre-training on multi-species genomes. Furthermore, models trained on multi-species genomes also show strong performance on human genome analysis tasks, proving their ability to develop a comprehensive understanding of multi-species genomes without compromising their grasp of the human genome. However, we observe that additional pre-training does not uniformly enhance performance across all tasks, indicating that task-specific further pre-training might be beneficial when addressing a certain downstream task.

Additionally, DNABERT variants achieve optimal performance in the Core Promoter Detection task, where inputs are sequences of length 70. However, their performance diminishes in the similar task of Promoter Detection, where the input length increases to 300. These results highlight a common challenge associated with non-overlapping k-mer tokenization and BPE-based tokenization: the capacity to identify subtle signals from limited input. Although inefficient, the overlapping k-mer tokenization adopted by DNABERT retains most of the information in the original sequences. In contrast, the sequence length is significantly reduced (*i.e.*, from 70 to 15) with non-overlapping k-mer and BPE tokenization, which might limit the retained information and hinder informed decision-making. This identifies a critical area for future exploration in DNA language models.

## 6 Conclusion

In this paper, we introduce DNABERT-2, an efficient foundational model pre-trained on extensive multi-species genomes. We identify the computational and sample inefficiencies of the existing k-mer tokenization method and propose the adaptation of Byte Pair Encoding (BPE) for DNA language modeling. We provide insightful and comprehensive empirical analyses, building DNABERT-2 based on these findings. Moreover, we integrate several techniques such as Attention with Linear Biases (ALiBi) and Low-Rank Adaptation (LoRA) to address the limitations of current DNA language models. From a data perspective, we compile and introduce the Genome Understanding Evaluation (GUE), a benchmark for multi-species genome analysis comprising seven tasks and 28 datasets with well-defined training, validation, test sets, clear evaluation metrics, and elaborately calibrate difficulty. In addition, we release a multi-species genome dataset consisting of 32.49 billion nucleotide bases derived from the genomes of 135 species across seven categories. We believe these datasets will significantly contribute to the progression of research on DNA language models. For future work, we identify several promising directions: 1) the development of effective modeling strategies for short genome sequences; 2) scaling up the model size; and 3) the introduction of training targets and data processing/augmentation methods that leverage the unique double-strand structure of DNA.

## Acknowledgments

This work is supported by NIH R01LM01372201.

## References

Žiga Avsec, Vikram Agarwal, Daniel Visentin, Joseph R Ledsam, Agnieszka Grabska-Barwinska, Kyle R Taylor, Yannis Assael, John Jumper, Pushmeet Kohli, and David R Kelley. Effective gene expression prediction from sequence by integrating long-range interactions. *Nature methods*, 18(10):1196–1203, 2021.

Rishi Bommasani, Drew A. Hudson, Ehsan Adeli, Russ Altman, Simran Arora, Sydney von Arx, Michael S. Bernstein, Jeannette Bohg, Antoine Bosselut, Emma Brunskill, Erik Brynjolfsson, Shyamal Buch, Dallas Card, Rodrigo Castellon, Niladri Chatterji, Annie Chen, Kathleen Creel, Jared Quincy Davis, Dora Demszky, Chris Donahue, Moussa Doumbouya, Esin Durmus, Stefano Ermon, John Etchemendy, Kawin Ethayarajh, Li Fei-Fei, Chelsea Finn, Trevor Gale, Lauren Gillespie, Karan Goel, Noah Goodman, Shelby Grossman, Neel Guha, Tatsunori Hashimoto, Peter Henderson, John Hewitt, Daniel E. Ho, Jenny Hong, Kyle Hsu, Jing Huang, Thomas Icard, Saahil Jain, Dan Jurafsky, Pratyusha Kalluri, Siddharth Karamcheti, Geoff Keeling, Fereshte Khani, Omar Khattab, Pang Wei Koh, Mark Krass, Ranjay Krishna, Rohith Kuditipudi, Ananya Kumar, Faisal Ladhak, Mina Lee, Tony Lee, Jure Leskovec, Isabelle Levent, Xiang Lisa Li, Xuechen Li, Tengyu Ma, Ali Malik, Christopher D. Manning, Suvir Mirchandani, Eric Mitchell, Zanele Munyikwa, Suraj Nair, Avanika Narayan, Deepak Narayanan, Ben Newman, Allen Nie, Juan Carlos Niebles, Hamed Nilforoshan, Julian Nyarko, Giray Ogut, Laurel Orr, Isabel Papadimitriou, Joon Sung Park, Chris Piech, Eva Portelance, Christopher Potts, Aditi Raghunathan, Rob Reich, Hongyu Ren, Frieda Rong, Yusuf Roohani, Camilo Ruiz, Jack Ryan, Christopher Ré, Dorsa Sadigh, Shiori Sagawa, Keshav Santhanam, Andy Shih, Krishnan Srinivasan, Alex Tamkin, Rohan Taori, Armin W. Thomas, Florian Tramèr, Rose E. Wang, William Wang, Bohan Wu, Jiajun Wu, Yuhuai Wu, Sang Michael Xie, Michihiro Yasunaga, Jiaxuan You, Matei Zaharia, Michael Zhang, Tianyi Zhang, Xikun Zhang, Yuhui Zhang, Lucia Zheng, Kaitlyn Zhou, and Percy Liang. On the opportunities and risks of foundation models, 2022.

Marta Byrska-Bishop, Uday S. Evani, Xuefang Zhao, Anna O. Basile, Haley J. Abel, Allison A. Regier, André Corvelo, Wayne E. Clarke, Rajeeva Musunuri, Kshithija Nagulapalli, Susan Fairley, Alexi Runnels, Lara Winterkorn, Ernesto Lowy-Gallego, The Human Genome Structural Variation Consortium, Paul Flicek, Soren Germer, Harrison Brand, Ira M. Hall, Michael E. Talkowski, Giuseppe Narzisi, and Michael C. Zody. High coverage whole genome sequencing of the expanded 1000 genomes project cohort including 602 trios. bioRxiv, 2021. doi: 10.1101/2021.02.06.430068. URL https://www.biorxiv.org/content/early/2021/02/07/2021.02.06.430068.

- Ken Chen, Huiying Zhao, and Yuedong Yang. Capturing large genomic contexts for accurately predicting enhancer-promoter interactions. *Brief. Bioinform.*, 23(2), March 2022.
- ENCODE Project Consortium et al. An integrated encyclopedia of dna elements in the human genome. *Nature*, 489 (7414):57, 2012.
- Tri Dao, Daniel Y. Fu, Stefano Ermon, Atri Rudra, and Christopher Ré. Flashattention: Fast and memory-efficient exact attention with io-awareness, 2022.
- Yann N. Dauphin, Angela Fan, Michael Auli, and David Grangier. Language modeling with gated convolutional networks. In *Proceedings of the 34th International Conference on Machine Learning Volume 70*, ICML'17, page 933–941. JMLR.org, 2017.
- René Dreos, Giovanna Ambrosini, Rouayda Cavin Périer, and Philipp Bucher. Epd and epdnew, high-quality promoter resources in the next-generation sequencing era. *Nucleic acids research*, 41(D1):D157–D164, 2013.
- Edward J. Hu, Yelong Shen, Phillip Wallis, Zeyuan Allen-Zhu, Yuanzhi Li, Shean Wang, Lu Wang, and Weizhu Chen. Lora: Low-rank adaptation of large language models, 2021.
- Yanrong Ji, Zhihan Zhou, Han Liu, and Ramana V Davuluri. Dnabert: pre-trained bidirectional encoder representations from transformers model for dna-language in genome. *Bioinformatics*, 37(15):2112–2120, 2021.
- Junru Jin, Yingying Yu, Ruheng Wang, Xin Zeng, Chao Pang, Yi Jiang, Zhongshen Li, Yutong Dai, Ran Su, Quan Zou, Kenta Nakai, and Leyi Wei. iDNA-ABF: multi-scale deep biological language learning model for the interpretable prediction of DNA methylations. *Genome Biol.*, 23(1):219, October 2022.
- Jacob Devlin Ming-Wei Chang Kenton and Lee Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. In *Proceedings of NAACL-HLT*, pages 4171–4186, 2019.
- Shruti Khare, Céline Gurry, Lucas Freitas, Mark B Schultz, Gunter Bach, Amadou Diallo, Nancy Akite, Joses Ho, Raphael TC Lee, Winston Yeo, et al. Gisaid's role in pandemic response. *China CDC weekly*, 3(49):1049, 2021.
- Taku Kudo and John Richardson. Sentencepiece: A simple and language independent subword tokenizer and detokenizer for neural text processing, 2018.
- Nguyen Quoc Khanh Le, Quang-Thai Ho, Van-Nui Nguyen, and Jung-Su Chang. Bert-promoter: An improved sequence-based predictor of dna promoter using bert pre-trained model and shap feature selection. *Computational Biology and Chemistry*, 99:107732, 2022.
- Dohoon Lee, Jeewon Yang, and Sun Kim. Learning the histone codes with large genomic windows and three-dimensional chromatin interactions using transformer. *Nature Communications*, 13(1):6678, 2022.
- Zhongxiao Li, Elva Gao, Juexiao Zhou, Wenkai Han, Xiaopeng Xu, and Xin Gao. Applications of deep learning in understanding gene regulation. *Cell Reports Methods*, 3(1):100384, 2023. ISSN 2667-2375. doi: https://doi.org/10.1016/j.crmeth.2022.100384. URL https://www.sciencedirect.com/science/article/pii/S2667237522002892.
- Marie Lopez, Hugo Dalla-Torre, Liam Gonzalez, Javier Mendoza-Revilla, Nicolas Lopez Carranza, Adam Grzywaczewski, Francesco Oteri, Christian Dallago, Evan Trop, Hassan Sirelkhatim, et al. The nucleotide transformer: Building and evaluating robust foundation models for human genomics. 2023.
- Ilya Loshchilov and Frank Hutter. Decoupled weight decay regularization, 2019.
- Yu Ni, Linqi Fan, Miao Wang, Ning Zhang, Yongchun Zuo, and Mingzhi Liao. EPI-Mind: Identifying enhancer-promoter interactions based on transformer mechanism. *Interdiscip. Sci.*, 14(3):786–794, September 2022.
- OpenAI. Gpt-4 technical report, 2023.
- Long Ouyang, Jeff Wu, Xu Jiang, Diogo Almeida, Carroll L. Wainwright, Pamela Mishkin, Chong Zhang, Sandhini Agarwal, Katarina Slama, Alex Ray, John Schulman, Jacob Hilton, Fraser Kelton, Luke Miller, Maddie Simens, Amanda Askell, Peter Welinder, Paul Christiano, Jan Leike, and Ryan Lowe. Training language models to follow instructions with human feedback. 2022.
- Ofir Press, Noah A Smith, and Mike Lewis. Train short, test long: Attention with linear biases enables input length extrapolation. *arXiv* preprint arXiv:2108.12409, 2021.
- Colin Raffel, Noam Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J Liu. Exploring the limits of transfer learning with a unified text-to-text transformer. *The Journal of Machine Learning Research*, 21(1):5485–5551, 2020.
- Joel Rozowsky, Jiahao Gao, Beatrice Borsari, Yucheng T Yang, Timur Galeev, Gamze Gürsoy, Charles B Epstein, Kun Xiong, Jinrui Xu, Tianxiao Li, Jason Liu, Keyang Yu, Ana Berthel, Zhanlin Chen, Fabio Navarro, Maxwell S Sun, James Wright, Justin Chang, Christopher J F Cameron, Noam Shoresh, Elizabeth Gaskell, Jorg Drenkow, Jessika

Adrian, Sergey Aganezov, François Aguet, Gabriela Balderrama-Gutierrez, Samridhi Banskota, Guillermo Barreto Corona, Sora Chee, Surya B Chhetri, Gabriel Conte Cortez Martins, Cassidy Danyko, Carrie A Davis, Daniel Farid, Nina P Farrell, Idan Gabdank, Yoel Gofin, David U Gorkin, Mengting Gu, Vivian Hecht, Benjamin C Hitz, Robbyn Issner, Yunzhe Jiang, Melanie Kirsche, Xiangmeng Kong, Bonita R Lam, Shantao Li, Bian Li, Xiqi Li, Khine Zin Lin, Ruibang Luo, Mark Mackiewicz, Ran Meng, Jill E Moore, Jonathan Mudge, Nicholas Nelson, Chad Nusbaum, Ioann Popov, Henry E Pratt, Yunjiang Qiu, Srividya Ramakrishnan, Joe Raymond, Leonidas Salichos, Alexandra Scavelli, Jacob M Schreiber, Fritz J Sedlazeck, Lei Hoon See, Rachel M Sherman, Xu Shi, Minyi Shi, Cricket Alicia Sloan, J Seth Strattan, Zhen Tan, Forrest Y Tanaka, Anna Vlasova, Jun Wang, Jonathan Werner, Brian Williams, Min Xu, Chengfei Yan, Lu Yu, Christopher Zaleski, Jing Zhang, Kristin Ardlie, J Michael Cherry, Eric M Mendenhall, William S Noble, Zhiping Weng, Morgan E Levine, Alexander Dobin, Barbara Wold, Ali Mortazavi, Bing Ren, Jesse Gillis, Richard M Myers, Michael P Snyder, Jyoti Choudhary, Aleksandar Milosavljevic, Michael C Schatz, Bradley E Bernstein, Roderic Guigó, Thomas R Gingeras, and Mark Gerstein. The EN-TEx resource of multi-tissue personal epigenomes & variant-impact models. *Cell*, 186(7):1493–1511.e40, March 2023.

Rico Sennrich, Barry Haddow, and Alexandra Birch. Neural machine translation of rare words with subword units. In *Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pages 1715–1725, Berlin, Germany, August 2016. Association for Computational Linguistics. doi: 10.18653/v1/P16-1162. URL https://aclanthology.org/P16-1162.

Noam Shazeer. Glu variants improve transformer, 2020.

Gabrielle D Smith, Wan Hern Ching, Paola Cornejo-Páramo, and Emily S Wong. Decoding enhancer complexity with machine learning and high-throughput discovery. *Genome Biol.*, 24(1):116, May 2023.

John A Stamatoyannopoulos, Michael Snyder, Ross Hardison, Bing Ren, Thomas Gingeras, David M Gilbert, Mark Groudine, Michael Bender, Rajinder Kaul, Theresa Canfield, et al. An encyclopedia of mouse dna elements (mouse encode). *Genome biology*, 13(8):1–5, 2012.

Jianlin Su, Yu Lu, Shengfeng Pan, Ahmed Murtadha, Bo Wen, and Yunfeng Liu. Roformer: Enhanced transformer with rotary position embedding. *arXiv preprint arXiv:2104.09864*, 2021.

Chi Sun, Xipeng Qiu, Yige Xu, and Xuanjing Huang. How to fine-tune bert for text classification?, 2020.

The Mosaic ML Team. composer. https://github.com/mosaicml/composer/, 2021.

Christina V Theodoris, Ling Xiao, Anant Chopra, Mark D Chaffin, Zeina R Al Sayed, Matthew C Hill, Helene Mantineo, Elizabeth M Brydon, Zexian Zeng, X Shirley Liu, and Patrick T Ellinor. Transfer learning enables predictions in network biology. *Nature*, 618(7965):616–624, June 2023.

Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. *Advances in neural information processing systems*, 30, 2017.

Ruohan Wang, Zishuai Wang, Jianping Wang, and Shuaicheng Li. Splicefinder: ab initio prediction of splice sites using convolutional neural network. *BMC bioinformatics*, 20:1–13, 2019.

Zixuan Wang, Yongqing Zhang, Yuhang Liu, Shuwen Xiong, Maocheng Wang, Jiliu Zhou, and Meiqin Gong. Towards a better understanding of tf-dna binding prediction from genomic features. *Computers in Biology and Medicine*, page 105993, 2022.

Thomas Wolf, Lysandre Debut, Victor Sanh, Julien Chaumond, Clement Delangue, Anthony Moi, Pierric Cistac, Tim Rault, Rémi Louf, Morgan Funtowicz, Joe Davison, Sam Shleifer, Patrick von Platen, Clara Ma, Yacine Jernite, Julien Plu, Canwen Xu, Teven Le Scao, Sylvain Gugger, Mariama Drame, Quentin Lhoest, and Alexander M. Rush. Transformers: State-of-the-art natural language processing. In *Proceedings of the 2020 Conference on Empirical Methods in Natural Language Processing: System Demonstrations*, pages 38–45, Online, October 2020. Association for Computational Linguistics. URL https://www.aclweb.org/anthology/2020.emnlp-demos.6.

Pengyu Zhang, Hongming Zhang, and Hao Wu. ipro-wael: a comprehensive and robust framework for identifying promoters in multiple species. *Nucleic Acids Research*, 50(18):10278–10289, 2022.

## **A** Experiments

## **A.1** All Experiment Results

H3 74.15 73.03 73.40 73.10	H3K14ac 42.07 41.88 40.68 40.06	H3K36me3 48.49 48.03 48.29 47.25	H3K4me1 42.95 41.06 40.65	<b>H3K4me2</b> 31.34 30.66 30.67	H3K4me3 28.92 25.31 27.10
73.03 73.40	41.88 40.68	48.03 48.29	41.06 40.65	30.66	25.31
73.40	40.68	48.29	40.65		
				30.67	27.10
73.10	40.06	47.25			27.10
		41.23	41.44	32.27	27.81
69.67	33.55	44.14	37.15	30.87	24.06
72.52	39.37	45.58	40.45	31.05	26.16
74.61	44.08	50.86	43.10	30.28	30.87
78.77	<u>56.20</u>	61.99	55.30	<u>36.49</u>	40.34
78.27	52.57	56.88	50.52	31.13	36.27
80.17	57.42	<u>61.90</u>	<u>53.00</u>	39.89	41.20
7	78.27	78.27 52.57 <b>80.17 57.42</b>	78.27 52.57 56.88 <b>80.17 57.42</b> <u>61.90</u>	78.27 52.57 56.88 50.52 <b>80.17 57.42</b> <u>61.90</u> <u>53.00</u>	78.27 52.57 56.88 50.52 31.13

	Epigen	<b>Epigenetic Marks Prediction</b>					<b>Promoter Detection</b>		
	H3K79me3	Н3К9ас	H4	H4ac	all	notata	tata		
DNABERT (3-mer)	60.12	50.48	78.27	38.60	90.44	93.61	69.83		
DNABERT (4-mer)	59.77	51.44	78.28	36.40	89.54	92.65	66.78		
DNABERT (5-mer)	59.61	51.11	77.27	37.48	90.16	92.45	69.51		
DNABERT (6-mer)	61.17	51.22	79.26	37.43	90.48	93.05	61.56		
NT-500M-human	58.35	45.81	76.17	33.74	87.71	90.75	78.07		
NT-500M-1000g	59.33	49.29	76.29	36.79	89.76	91.75	78.23		
NT-2500M-1000g	61.20	52.36	79.76	41.46	90.95	93.07	75.80		
NT-2500M-multi	64.70	<u>56.01</u>	81.67	49.13	91.01	94.00	79.43		
DNABERT-2	67.39	55.63	80.71	50.43	86.77	94.27	71.59		
<b>DNABERT-2</b> ♦	<u>65.46</u>	57.07	81.86	50.35	88.31	94.34	68.79		

	Transc	$Transcription\ Factor\ Prediction\ (Human)$					<b>Core Promoter Detection</b>		
	0	1	2	3	4	all	notata	tata	
DNABERT (3-mer)	67.95	70.90	60.51	53.03	69.76	70.92	69.82	78.15	
DNABERT (4-mer)	67.90	73.05	59.52	50.37	71.23	69.00	70.04	74.25	
DNABERT (5-mer)	66.97	69.98	59.03	52.95	69.26	69.48	69.81	76.79	
DNABERT (6-mer)	66.84	70.14	61.03	51.89	70.97	68.90	70.47	$\overline{76.06}$	
NT-500M-human	61.59	66.75	53.58	42.95	60.81	63.45	64.82	71.34	
NT-500M-1000g	63.64	70.17	52.73	45.24	62.82	66.70	67.17	73.52	
NT-2500M-1000g	66.31	68.30	58.70	49.08	67.59	67.39	67.46	69.66	
NT-2500M-multi	66.64	70.28	58.72	51.65	69.34	<u>70.33</u>	71.58	72.97	
DNABERT-2	71.99	76.06	66.52	58.54	77.43	69.37	68.04	74.17	
DNABERT-2♦	<u>69.12</u>	71.87	<u>62.96</u>	<u>55.35</u>	<u>74.94</u>	67.50	69.53	76.18	

	Transo	ription I	Factor P	Virus	Splice		
	0	1	2	3	4	Covid	Reconstruct
DNABERT (3-mer)	42.31	79.10	69.90	55.40	41.97	62.23	84.14
DNABERT (4-mer)	49.42	79.95	72.62	51.79	44.13	59.87	84.05
DNABERT (5-mer)	42.45	79.32	62.22	49.92	40.34	50.46	84.02
DNABERT (6-mer)	44.42	78.94	71.44	44.89	42.48	55.50	84.07
NT-500M-human	31.04	75.04	61.67	29.17	29.27	50.82	79.71
NT-500M-1000g	39.26	75.49	64.70	33.07	34.01	52.06	80.97
NT-2500M-1000g	48.31	80.02	70.14	42.25	43.40	66.73	85.78
NT-2500M-multi	<u>63.31</u>	83.76	71.52	<u>69.44</u>	47.07	73.04	89.35
DNABERT-2	56.76	84.77	79.32	66.47	52.66	71.02	84.99
<b>DNABERT-2</b> ♦	64.23	86.28	$\overline{81.28}$	73.49	50.80	$\overline{68.49}$	85.93

Table 4: This table presents the performance of all the models on the GUE benchmark. ◆: perform further pre-training on the training sets of the GUE benchmark.

	EMP	TF-M	CVC	TF-H	PD-tata	PD-other	CPD-tata	CPD-other	SSP
Num. Epochs	3	1k steps	8	3	10	4	10	4	5

Table 5: The number of training steps we used for the following tasks: Epigenetic Marks Prediction (EMP), Transcription Factor Prediction on the Human genome and the Mouse genome (TF-H and TF-M), Covid Variants Classification (CVC), *tata* dataset of Promoter Detection (PD-tata), *notata* and *all* datasets of Promoter Detection (PD-other), *tata* dataset of Core Promoter Detection (CPD-tata), *notata* and *all* datasets of Core Promoter Detection (CPD-other), and Splice Site Prediction (SSP).

## A.2 Hyperparameters

This section presents the hyperparameters we used in the fine-tuning stage on each model. Table 5 shows the number of training steps we used for each task. We use **AdamW** [Loshchilov and Hutter, 2019] as optimizer. We keep most of the other hyperparameters the same for all the models across all the datasets, including a batch size of 32, a warmup step of 50, and a weight decay of 0.01. For DNABERT and DNABERT-2, we perform standard fine-tuning with a learning rate of 3e-5, while for the Nucleotide Transformers, we perform parameter efficient fine-tuning (PEFT) using Low-Rank Adaptation (LoRA) with a learning rate of 1e-4, a LoRA alpha of 16, a LoRA dropout of 0.05, and a LoRA r of 8. The hyperparameters are selected based on grid searches over commonly used ones in preliminary experiments.

## A.3 Preliminary Experiments on Nucleotide Transformer

Since there is no official fine-tuning code of Nucleotide Transformer [Lopez et al., 2023], we use its open-sourced checkpoints in Huggingface Modelhub<sup>2</sup> and train it with our code base using LoRA. For a fair comparison with this model, in this section, we present preliminary experiments that compare the results reported in their paper with the performance of this model under our implementation. We select the epigenetic marks prediction task for benchmarking since it is the only shared task among Lopez et al. [2023] and GUE. The task contains 10 datasets. For each dataset, we randomly split it into training and test sets with a ratio of 9:1. As shown in Table 6, our LoRA implementation leads to slightly better results than the results reported in the original paper, making our comparison to the model fair and convincing despite the fact that we do not have access to its official fine-tuning implementation.

	Н3	H3K14ac	H3K36me3	H3K4me1	H3K4me2	H3K4me3
500M-human*	72.00	37.00	45.00	36.00	27.00	24.00
500M-human	69.67	33.55	44.14	37.15	30.87	24.06
500M-1000g*	74.00	38.00	47.00	38.00	26.00	24.00
500M-1000g	72.52	39.37	45.58	40.45	31.05	26.16
2500M-1000g*	75.00	45.00	53.00	42.00	28.00	31.00
2500M-1000g	74.61	44.08	50.86	43.10	30.28	30.87
2500M-multi*	79.00	54.00	62.00	54.00	32.00	41.00
2500M-multi	78.77	56.20	61.99	55.30	36.49	40.34

	H3K79me3	Н3К9ас	H4	H4ac	Average
500M-human*	57.00	45.00	75.00	33.00	45.10
500M-human	58.35	45.81	76.17	33.74	<b>45.35</b>
500M-1000g*	56.00	48.00	76.00	34.00	46.10
500M-1000g	59.33	49.29	76.29	36.79	<b>47.68</b>
2500M-1000g*	57.00	49.00	79.00	41.00	50.00
2500M-1000g	61.20	52.36	79.76	41.46	<b>50.86</b>
2500M-multi*	62.00	55.00	81.00	49.00	56.90
2500M-multi	64.70	56.01	81.67	49.13	<b>58.06</b>

Table 6: This table presents the performance of the Nucleotide Transformer on ten datasets of epigenetic marks prediction on the Yeast genome. As shown in the table, our implementation achieves better performance than the results reported in the paper, indicating the fairness of comparison in our experiments. \*: Results taken from Lopez et al. [2023].

<sup>&</sup>lt;sup>2</sup>https://huggingface.co/InstaDeepAI

## **B** Data

## **B.1** Multi-Species Genome for Pre-Training

Table 7 lists the 135 species in 7 categories that we randomly selected for genome foundation model pre-training and presents the number of nucleotides we achieved from each species.

Category	Species	Num. of Nucleotides (M)
	Ceratobasidium	655.37
	Claviceps Maximensis	329.79
	Fusarium Annulatum	449.98
	Melampsora	699.52
Funci	Metschnikowia	109.36
Fungi	Mucor Saturninus	391.17
	Penicillium Chermesinum	275.81
	Saccharomyces Cerevisiae	121.54
	Sporopachydermia Quercuum	155.71
	Tranzscheliella Williamsii	184.77
	Xylariales	399.96
Protozoa	Phytophthora Sojae	792.65
Protozoa	Pythium Apiculatum	450.99
	Bubalus Bubalis	28768.00
	Camelus Dromedarius	19757.02
	Human	31372.10
Mammalian	Macaca Assamensis	27593.76
	Macaca Nigra	28217.13
	Mus Musculus	26545.98
	Peromyscus Californicus	24677.56
	Anas Zonorhyncha	11697.08
Other	Coregonus Clupeaformis	26824.02
Vertebrate	Gnathonemus Longibarbis	7314.74
	Myxocyprinus Asiaticus	23407.19
	Rhipidura Dahli	10112.96
	Aeromonas	47.33
	Agrobacterium	97.22
	Alcaligenaceae Bacterium	20.88
	Aliivibrio	46.48
	Alphaproteobacteria Bacterium	14.22
	Amycolatopsis Antarctica	63.43
	Anaerostipes Faecis	32.00
	Arthrobacter	36.27
	Atopobium	28.63
Bacteria	Bacillus Bc15	57.34
Dacteria	Bacillus Bs3 2021	43.51
	Bacterium	7.54
	Bacteroidetes Bacterium Qs	8.99
	Breoghania Corrubedonensis	53.32
	Caldicoprobacter Oshimai	27.25
	Candidatus Cryptobacteroides Excrementipullorum	27.63
	Candidatus Dadabacteria Bacterium Rbg Combo	11.49
	Candidatus Dwaynia Gallinarum	16.82
	Candidatus Falkowbacteria Bacterium	13.88
	Candidatus Geothermincola Secundus	24.76
	Candidatus Gottesmanbacteria Bacterium	11.08
	Candidatus Nomurabacteria Bacterium Full	6.29
	Candidatus Portnoybacteria Bacterium Big Fil Rev	8.17

(Continued on next page)

(Continued from previous page)

Category	Species	Num. of Nucleotides (M	
	Candidatus Regiella Insecticola	20.62	
	Candidatus Roizmanbacteria Bacterium Combo All	11.13	
	Candidatus Rokubacteria Bacterium	22.06	
	Candidatus Saccharibacteria Bacterium	6.55	
	Candidatus Staskawiczbacteria Bacterium Full	6.79	
	Christensenella	18.75	
	Clostridiaceae Bacterium	29.62	
	Clostridiales Bacterium	16.59	
	Clostridium Cag 505	21.26	
	Clostridium Mcc328	36.43	
	Clostridium Nexile	38.43	
	Clostridium Uba3521	25.99	
	Collinsella Urealyticum	19.45	
	Coprobacillus Cateniformis	38.38	
	Cyanobium	40.33	
	Dehalococcoidia Bacterium	17.59	
	Enterobacteriaceae Bacterium	41.46	
	Evtepia Gabavorous	24.94	
	Firmicutes Bacterium	36.66	
	Fulvivirga	65.24	
Bacteria	Jeongeupia Chitinilytica	39.11	
	Legionella Endosymbiont Of Polyplax Serrata	5.30	
	Listeria Ilorinensis	30.31	
	Maribacter Cobaltidurans	46.40	
	Marinomonas	37.73	
	Mesorhizobium	65.15	
	Methyloceanibacter Caenitepidi	34.25	
	Microvirga	68.63	
	Mycolicibacter Engbaekii	45.21	
	Novosphingobium	46.18	
	Omnitrophica Wor Bacterium Rbg	12.52	
	Pantoea	43.14	
	Paraburkholderia Edwinii	82.99	
	Parerythrobacter Lutipelagi	30.98	
	Paulownia Witches Phytoplasma	8.92	
	Polaromonas Eurypsychrophila	41.61	
	Prevotella Ag 487 50 53	29.63	
	Prevotella Uba3619	31.72	
	Prevotella Uba634	18.51	
	Prochlorococcus Ag-321-I09	3.29	
	Prochlorococcus Ag-363-B18	15.54	
	Prochlorococcus Ag-402-L19	11.17	
	Prochlorococcus Scb243 498N4	14.12	
	Providencia	41.89	
	Pseudomonas 35 E 8	63.56	
	Pseudomonas Bigb0408	59.52	
	Pseudomonas P867	62.01	
	Pseudomonas Promysalinigenes	50.47	
	Roseobacter	44.14	
	Salinicola Peritrichatus	46.19	
	Salmonella S096 02912	48.09	
	Salmonella Zj-F75	47.87	
	Sinorhizobium	65.53	
	Sodalis Ligni	63.85	
	Sphaerochaeta	28.61	

(Continued on next page)

(Continued from previous page)

Category	Species	Num. of Nucleotides (M)
	Sphingobacterium	36.55
	Sphingomonas Carotinifaciens	37.53
	Sphingomonas Mesophila	22.91
	Sporosarcina Jiandibaonis	36.30
	Sporosarcina Ureilytica	34.37
	Staphylococcus Gdq20D1P	28.50
	Staphylococcus M0911	24.38
	Streptococcus	22.18
Bacteria	Streptomyces 8401	88.39
	Streptomyces Di166	88.71
	Streptomyces Durbertensis	59.24
	Streptomyces Neau-Yj-81	118.84
	Streptomyces Rk74B	87.36
	Thermopetrobacter	26.06
	Uncultured Kushneria	35.31
	Uncultured Phascolarctobacterium	17.95
	Uncultured Proteus	35.66
	Verrucomicrobiales Bacterium	3.15
	Vibrio	41.47
	Victivallis Lenta	55.45
	Virgibacillus Salexigens	44.18
	Xanthomonadales Bacterium	37.47

Table 7: Details statistics of the multi-species genome dataset for pre-training.

#### **B.2** Genome Understanding Evaluation (GUE)

The proposed benchmark Genome Understanding Evaluation (GUE) contains 28 datasets of 7 biological important genome analysis tasks for 4 different species. To comprehensively evaluate the genome foundation models in modeling variable-length sequences, we select tasks with input lengths ranging from 70 to 1000. Table 8 presents the details statistics of each evaluation dataset. The following tasks are included in the GUE benchmark.

**Promoter detection (Human)** focuses on identifying (proximal) promoter regions, crucial sequences in the human genome responsible for instigating transcription. As many primary regulatory elements are located in this region, accurately detecting these sites is instrumental in advancing our grasp of gene regulation mechanisms and pinpointing the genomic underpinnings of numerous diseases. The dataset is divided twofold, TATA and non-TATA, based on whether a TATA box motif is present in the sequence. We extract -249 +50 bp around the transcription start site (TSS) from TATA and non-TATA promoters downloaded from Eukaryotic Promoter Database (EPDnew) [Dreos et al., 2013] and use it as our promoter class. Meanwhile, we construct the non-promoter class with equal-sized randomly selected sequences outside of promoter regions but with TATA motif (TATA non-promoters) or randomly substituted sequences (non-TATA, non-promoters). We also combine the TATA and non-TATA datasets to obtain a combined dataset named *all*.

**Core promoter detection (Human)** is similar to proximal promoter detection with a focus on predicting the core promoter region only, the central region closest to the TSS and start codon. A much shorter context window (center -34 +35 bp around TSS) is provided, making this a more challenging task than proximal promoter prediction.

**Transcription factor binding site prediction (Human)** predicts binding sites of transcription factors (TF), the key proteins that regulate gene expression in the human genome. Their accurate prediction is key to deciphering complex genetic interactions and identifying potential targets for gene therapies. We accessed the legacy 690 ENCODE ChIP-seq experiments [Consortium et al., 2012] via the UCSC genome browser, which encompasses 161 TF binding profiles in 91 human cell lines. We extracted a 101-bp region around the center of each peak as TFBS class and nonoverlapping sequences with the same length and GC content as non-TFBS class. Finally, we randomly select 5 datasets out of a subset of 690 that we curated by heuristically filtering out tasks that are either too trivial (e.g., over 0.95 F1) or too challenging (e.g., less than 0.50 F1) for existing language models.

Task	Metric	Datasets	Train / Dev / Test
		tata	4904 / 613 / 613
Core Promoter Detection	mcc	notata	42452 / 5307 / 5307
		all	47356 / 5920 / 5920
		tata	4904 / 613 / 613
<b>Promoter Detection</b>	mcc	notata	42452 / 5307 / 5307
		all	47356 / 5920 / 5920
		wgEncodeEH000552	32378 / 1000 / 1000
		wgEncodeEH000606	30672 / 1000 / 1000
Transcription Factor	mcc	wgEncodeEH001546	19000 / 1000 / 1000
Prediction (Human)		wgEncodeEH001776	27294 / 1000 / 1000
		wgEncodeEH002829	19000 / 1000 / 1000
<b>Splice Site Prediction</b>	mcc	reconstructed	36496 / 4562 / 4562
		Ch12Nrf2Iggrab	6478 / 810 / 810
		Ch12Znf384hpa004051Iggrab	53952 / 6745 / 6745
Transcription Factor	mcc	MelJundIggrab	2620 / 328 / 328
prediction (Mouse)		MelMafkDm2p5dStd	1904 / 239 / 239
		MelNelfeIggrab	15064 / 1883 / 1883
		Н3	11971 / 1497 / 1497
		H3K14ac	26438 / 3305 / 3305
		H3K36me3	27904 / 3488 / 3488
		H3K4me1	25341 / 3168 / 3168
<b>Epigenetic Marks Prediction</b>	n mcc	H3K4me2	24545 / 3069 / 3069
Epigenetic Iviai KS Frediction		H3K4me3	29439 / 3680 / 3680
		H3K79me3	23069 / 2884 / 2884
		H3K9ac	22224 / 2779 / 2779
		H4	11679 / 1461 / 1461
		H4ac	27275 / 3410 / 3410
Virus	f1	Covid variant classification	77669 / 7000 / 7000

Table 8: Statistics of tasks in the GUE benchmark, including the name and the number of training, validation, and test samples in each dataset.

**Splice site prediction (Human)** predicts splice donor and acceptor sites, which are the exact locations in the human genome where alternative splicing occurs. This prediction is crucial to understanding protein diversity and the implications of aberrant splicing in genetic disorders. The dataset [Wang et al., 2019] consists of 400-bp-long sequences extracted from Ensembl GRCh38 human reference genome. As suggested by Ji et al. [2021], existing models can achieve almost perfect performance on the original dataset, containing 10,000 splice donors, acceptors, and non-splice site sequences, which is overly optimistic on detecting non-canonical sites in reality. As such, we reconstruct the dataset by iteratively adding adversarial examples (unseen false positive predictions in hold-out set) in order to make this task more challenging.

**Transcription factor binding site prediction (Mouse)** predicts the binding site of transcription factors on mouse genomes. Similar to human binding site data, we obtain mouse ENCODE ChIP-seq data [Stamatoyannopoulos et al., 2012], which is the largest available collection on the UCSC genome browser (n=78). This time, the negative examples are created using dinucleotide shuffling while preserving relative frequencies, while all other settings stay the same as the human TFBS prediction dataset. We also randomly select 5 datasets out of the 78 datasets using the same process described above.

**Epigenetic marks prediction (Yeast)** predicts epigenetic marks in yeast, modifications on the genetic material that influence gene expression without altering the DNA sequence. Precise prediction of these marks aids in elucidating the role of epigenetics in yeast. We download the 10 datasets from http://www.jaist.ac.jp/~tran/nucleosome/members.htm and randomly split each dataset into training, validation, and test sets with a ratio of 8:1:1.

**Covid variant prediction (Virus)** aims to predict the variant type of the SARS\_CoV\_2 virus based on 1000-length genome sequences. We download the genomes from the EpiCoV database [Khare et al., 2021] of the Global Initiative

on Sharing Avian Influenza Data (GISAID). We consider 9 types of SARS\_CoV\_2 variants, including *Alpha*, *Beta*, *Delta*, *Eta*, *Gamma*, *Iota*, *Kappa*, *Lambda* and *Zeta*.